

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

YU, Siyuan

Atty. Ref.: 2490-21

Serial No. 10/524,049

TC/A.U.: 2828

Filed: October 18, 2005

Examiner: Armando Rodriguez

For: TUNABLE RING LASER WITH EXTERNAL GRATING  
OPERATING IN A SINGLE MODE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 10, 2008

Sir:

**REQUEST FOR REFUND**

A refund is respectfully requested for the overpayment made with the Amendment filed on January 22, 2008. The fees were inadvertently authorized via EFS as a large entity in the amount of "\$540.00" (\$420 for two additional independent claims and \$120 for a one-month extension of time), when in fact the correct amount is "\$270.00", for a small entity.

Attached is a copy of the Acknowledgment Receipt and the EFS Electronic Patent Application Fee Transmittal dated January 22, 2008. Therefore, it is requested that the overpayment of \$270.00 be refunded to applicant's undersigned attorney by either refunding the American Express credit card on file or by refunding USPTO Deposit Account No. 14-1140.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_ /Leonidas Boutsikaris/  
Leonidas Boutsikaris  
Reg. No. 61,377

LB:tlm

1100 North Glebe Road, 8th Floor  
Arlington, VA 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

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**Acknowledgement Receipt**

The USPTO has received your submission at **13:30:08** Eastern Time on **22-JAN-2008** by Deposit Account: 141140.

\$ **540** fee paid by e-Filer via RAM with Confirmation Number: 13693.

You have also pre-authorized the following payments from your USPTO Deposit Account:

Charge any Additional fees required under 37 CFR 1.492 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

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**eFiled Application Information**

EFS ID	2747127
Application Number	10524049
Confirmation Number	6785
Title	Tunable ring laser with external grating operation in a single mode
First Named Inventor	Siyuan Yu
Customer Number or Correspondence Address	23117
Filed By	Leonidas Boutsikaris/Tanja Majca
Attorney Docket Number	2490-21
Filing Date	18-OCT-2005
Receipt Date	22-JAN-2008
Application Type	U.S. National Stage under 35 USC 371

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**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
24900021Transmittal.pdf	1	Miscellaneous Incoming Letter	68349 bytes	◆ PASS
24900021Amendment.pdf	20		151319 bytes	◆ PASS
		Document Description	Page Start	Page End
		Amendment - After Non-Final Rejection	1	1
		Specification	2	6
		Claims	7	15
		Applicant Arguments/Remarks Made in an Amendment	16	20
fee-info.pdf	2	Fee Worksheet (PTO-06)	8353 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## Electronic Patent Application Fee Transmittal

Application Number:	10524049
Filing Date:	18-Oct-2005
Title of Invention:	Tunable ring laser with external grating operation in a single mode
First Named Inventor/Applicant Name:	Siyuan Yu
Filer:	Leonidas Boutsikaris/Tanja Majca
Attorney Docket Number:	2490-21

Filed as Large Entity

### **U.S. National Stage under 35 USC 371 Filing Fees**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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**Basic Filing:**

**Pages:**

**Claims:**

Independent claims in excess of 3	1614	2	210	420
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**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

Post-Allowance-and-Post-Issuance:

**Extension-of-Time:**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 1 month with \$0 paid	1251	1	120	120
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>540</b>